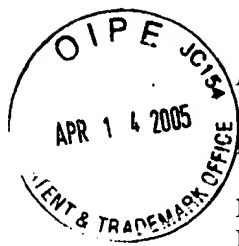


4-13-05

JPW 2152
\$

Attorney Docket No. CNTW-001/00US

PATENT

Express Mail Label Number: EV 459983282 US Date of Deposit: April 14, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: April 14, 2005

By:

Sherry Duncan Bitler

Sherry Duncan Bitler

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Tindal et al.

Confirmation No.: 1118

Serial No.: 09/730,864

Examiner: C. Zhong

Filed: 12/06/2000

Art Unit: 2152

For: **SYSTEM AND METHOD FOR CONFIGURATION, MANAGEMENT AND MONITORING OF NETWORK RESOURCES**

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08 for the above-identified patent application.

- ☐ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☒ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
- ☒ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
- ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. § 1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. ____ in the amount of \$____ for the total fee is attached.
- ☒ A return receipt postcard is also enclosed.

04/18/2005 HTECKLU1 00000073 501203 09730854

01 FC:1806 180.00 DA

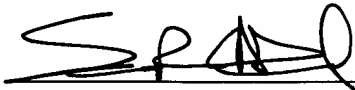
[x] Please charge \$180.00 to Deposit Account No. 50-1283 for the total fee.
This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-1283.

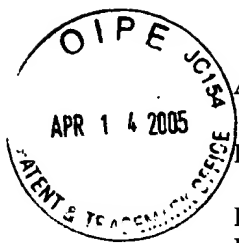
COOLEY GODWARD LLP
ATTN: Patent Group
One Freedom Square
Reston Town Center
11951 Freedom Drive
Reston, VA 20190-5601
Tel: (720) 566-4035
Fax: (720) 566-4099

Respectfully submitted,
COOLEY GODWARD LLP

By:



Sean R. O'Dowd
Reg. No. 53,403



Attorney Docket No. CNTW-001/00US

PATENT

Express Mail Label Number: EV 459983282 US Date of Deposit: April 14, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: April 14, 2005

By:

Sherry Duncan Bitler
Sherry Duncan Bitler

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Tindal et al.

Confirmation No.: 1118

Serial No.: 09/730,864

Examiner: C. Zhong

Filed: 12/06/2000

Art Unit: 2152

For: **SYSTEM AND METHOD FOR CONFIGURATION, MANAGEMENT AND MONITORING
OF NETWORK RESOURCES**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,

Applicant(s) hereby submits the following information in conformance with 37 C.F.R.

§§1.97 and 1.98.

- ☒ Pursuant to 37 C.F.R. §1.98, a copy of each document, with the exception of U.S. patents or U.S. patent application publications, cited in the attached Form PTO/SB/08A is enclosed.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. ___ and mailed on ___.

- ☐ Enclosed is a copy of a non-English publication(s) _____. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) _____. English language publication ____ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) _____ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) _____ cited in the attached Form PTO/SB/08A.
- ☐ Enclosed is a copy of pending patent Application Serial No. _____.

This Information Disclosure Statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing of:

- ☒ a final action under 37 C.F.R. §1.113;
- ☐ a notice of allowance under 37 C.F.R. §1.311; or
- ☐ an action that otherwise closes prosecution in this application.

In accordance with 37 C.F.R. §1.97(c) also enclosed is:

- ☒ Fee under 37 C.F.R. §1.17(p) in the amount of \$180.00; or
- ☐ Statement as specified in 37 C.F.R. §1.97(e):
 - ☐ Each item of information contained in the Information Disclosure Statement cited herein was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or
 - ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, having made a reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing date of the Information Disclosure Statement.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

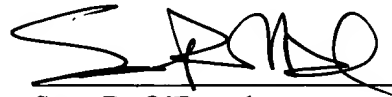
Dated: April 14, 2005

COOLEY GODWARD LLP
ATTN: Patent Group
One Freedom Square
Reston Town Center
11951 Freedom Drive
Reston, VA 20190-5656
Tel: (720) 566-4035
Fax: (720) 566-4099

231073 v1/CO

Respectfully submitted,
COOLEY GODWARD LLP

By:

A handwritten signature in black ink, appearing to read 'S. R. O'Dowd', is written over a horizontal line.

Sean R. O'Dowd
Reg. No. 53,403

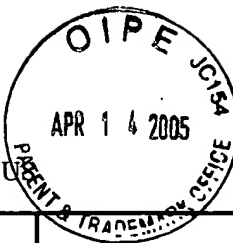
Please type a plus sign (+) inside this box →



PTO/SB/08A (08-00)

Express Mail Label Number: EV 459983282

Date of Deposit: April 14, 2005



Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		<i>Complete if Known</i>	
		Application Number	09/730,864
		Filing Date	12/06/2000
		First Named Inventor	Tindal
		Group Art Unit	2152
		Examiner Name	C. Zhong
Sheet	1 of 2	Attorney Docket Number	CNTW-001/00US

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)	
		5,475,819		Miller et al.
		5,491,820 A		Belove et al.
		5,838,918 A		Prager et al.
		5,920,701		Miller et al.
		5,956,341		Galand et al.
		5,968,176		Nessett et al.
		6,202,090		Simone, Giovanni Di
		6,260,072		Rodriguez-Moral, Antonio
		6,356,955		Hollberg et al.
		6,370,119		Basso et al.
		6,418,468		Ahlstrom et al.
		6,496,843		Getchius et al.
		6,539,425		Stevens et al.
		6,567,406 B1		Skemer, Terry
		6,609,108 B1		Pulliam et al.
		6,625,590 B1		Chen et al.
		6,636,877		Doleac et al.
		6,643,640		Getchius et al.
		6,654,799 B1		Tanaka
		6,658,526		Nguyen et al.
		6,678,827		Rothermel et al.
		6,684,244		Goldman et al.
		6,697,967		Robertson, Andrew
		6,725,233 B2		Froyd et al.
		6,769,116		Sexton, Harlan
		6,775,698		Simone, Giovanni Di
		6,826,597		Lonnroth et al.
		2004/0225865		Cox et al.
		2002/0198974 A1		Shafer, Philip
		2002/0191619 A1		Shafer, Philip
		2002/0174091 A1		Froyd et al.
		2003/0135508		Chorafakis et al.
		2004/0078695		Bowers et al.
		2002/0051080 A1		Tanaka
		2003/0048287 A1		Little et al.
		2003/0048287		Little et al.

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

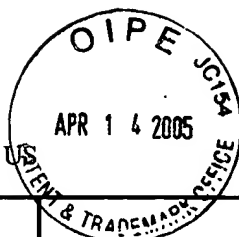
² See attached Kinds of U.S. Patent Documents.

Please type a plus sign (+) inside this box →



PTO/SB/08A (08-00)

Express Mail Label Number: EV 459983282



Date of Deposit: April 14, 2005

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	09/730,864
		Filing Date	12/06/2000
		First Named Inventor	Tindal
		Group Art Unit	2152
		Examiner Name	C. Zhong
Sheet	2 of 2	Attorney Docket Number	CNTW-001/00US

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Date of Publication of Cited Document MM-DD-YYYY
		2002/0038320 A1	Brook, John Charles	03-2002
		2003/0037040	Beadles et al.	02-2003
		2003/0033589 A1	Reyna et al.	02-2003
		2002/0032769 A1	Barkai et al.	03-2002

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁵
		Office ²	Number ³	Kind Code ⁴ (if known)			
		WO	97/49214		Pelavin	12/24/1997	

OTHER – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		LONVICK, C. The BSD syslog Protocol. RFC 3164 [online], August 2001 [retrieved on 2002-03-25]. Retrieved from the Internet <url: HTTP://www.ietf.org/rfc/rfc3164.txt >.	
		CASE, J., A Simple Network Management Protocol (SNMP). RFC 1098 [online], April 1989 [retrieved on 2002-03-26]. Retrieved from the internet <URL: http://www.ietf.org/rfc/rfc1098.txt?number=1098 >.	
		POSTEL, J.B. Simple Mail Transfer Protocol. RFC 821 [online], August 1982 [retrieved on 2002-03-26]. Retrieved from the Internet <URL: http://www.ietf.org/rfc/rfc0821.txt?number=821 >.	
		FINSETH, C. An Access Control Protocol, Sometimes called TACACS. RFC 1492 [online], July 1993 [retrieved on 2002-03-25]. Retrieved from the Internet <URL: http://www.cis.ohio-state.edu/cgi-bin/rfc/rfc1492.html >.	
		ANDERSON, B.A. TACACS User Identification Telnet Option. RFC 927 [online], December 1984 [retrieved on 2002-03-25]. Retrieved from the Internet <URL: http://www.ietf.org/rfc/rfc0927.txt >.	
		RIGNEY, C., et al. Remote Authentication Dial In User Service (RADIUS). RFC 2138 [online], April 1997 [retrieved on 2002-03-25]. Retrieved from the Internet <URL: http://www.ietf.org/rfc/rfc2138.txt >.	
		RIGNEY, C., RADIUS Accounting. RFC 2139 [online], April 1997 [retrieved on 2002-03-25]. Retrieved from the Internet <URL: http://www.ietf.org/rfc/rfc2139.txt >.	
		POSTEL, J., and REYNOLDS, J. TELNET PROTOCOL SPECIFICATION. RFC 854 [online], May 1983 [retrieved on 2002-03-25]. Retrieved from the Internet <URL: http://www.ietf.org/rfc/rfc0854.txt >.	
		Gold Wire Technology, "Tracking Changes." In <i>Formulator 1.0 User Guide</i> , pp. 211-224.	
		LEWIS, L., "Policy-Based Configuration Management: A Perspective from a Network Management Vendor," http://www.simple-times.org/pub/simple-times/issues/8-1.html , pp. 7-27	
		WALDBUSSER, ST., et al., "Policy Based Management MIB," http://www.ietf.org/internet-drafts/draft-ietf-snmpconf-pm-05.txt , pp. 1-102	
		STRASSNER, J., <i>A New Paradigm for Network Management: Business Driven Device Management</i> , [online], 2002, http://www.ssgrr.it/en/ssgrr2002s/papers.htm , http://www.ssgrr.it/en/ssgrr2002s/papers/162.pdf	

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁵ Applicant is to place a check mark here if English language Translation is attached.

² Applicant is to place a check mark here if English language Translation attached.